



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
GERALD N. COLEMAN, ET AL.
Application No. 09/108,232
Filed: July 1, 1998
For: STABILE INVERT FUEL
EMULSION COMPOSITIONS
AND METHOD OF MAKING
Attorney Docket No. 97-674

ATTENTION:
Application Branch

Peoria, Illinois 61629-6490

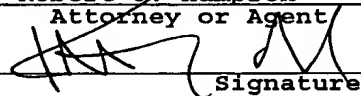
October 8, 1998

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: BOX MISSING PARTS

SUBMISSION OF MISSING PARTS

Sir:

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on <u>October 8, 1998</u> (Date)	
<u>Robert J. Hampsch</u> Attorney or Agent	
	Signature
Date <u>10/8/98</u>	

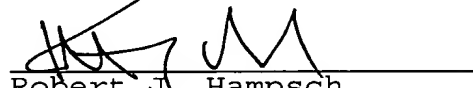
In response to the enclosed Application Division's PTO Form 1533, dated July 20, 1998, enclosed is a Declaration and Power of Attorney executed in compliance with 37 CFR §1.63. The above-noted application is the application which the inventors executed by signing the enclosed Declaration and

Power of Attorney.

Please charge the surcharge fee of \$130.00 to our Deposit Account No. 03-1129. A duplicate copy is enclosed.

Also enclosed is a PTO 1595 form and an Assignment for the above-identified application assigning the invention to Caterpillar Inc.

Respectfully submitted,



Robert J. Hampsch
Registration No. 36,155
Caterpillar Inc.

CAPS:RJH:NJW
Telephone: (309) 675-5214
Enclosures



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sector

NSW

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

10/15/1998 07/20/98 ASELLMAN

0222/0720

CATERPILLAR INC
ATTN: ROBERT J HAMPSCH
INTELLECTUAL PROPERTY DEPT - AD6490
100 N E ADAMS STREET
PEORIA IL 61629-6490

NOT ASSIGNED

1721

DATE MAILED:

07/20/98

10/15/1998 ASELLMAN 00000032 031129 09108232

01 FC:105

130.00 CH

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE